

**City of New Haven Employees' Retirement Fund
October 30, 2020 Portfolio Monitoring Report**

The Portfolio Monitoring Program[®] has generated preliminary loss amounts in the following new securities class actions. Certain of these cases were filed by other law firms, and RGRD has not evaluated the merits of such cases. Case summaries can be viewed by clicking on the case name. The range of data analyzed in the context of this report is approximately Jan 2005-Jul 2014; Apr 2020-current. Where data is insufficient for the class periods alleged, the loss amount may be inaccurate.

Case	FIFO Loss	LIFO Loss	Class Period	Motion Due
BioMarin Pharmaceutical Inc.	-\$28,157	-\$28,157	02/28/2020 - 08/18/2020	11/24/2020
Aimmune Therapeutics, Inc.	None		Shares held on 09/11/2020	12/14/2020
Aurora Cannabis Inc.	None		02/13/2020 - 09/04/2020	12/01/2020
Bayerische Motoren Werke Aktiengesellschaft	None		11/03/2015 - 09/24/2020	12/26/2020
Cardone Capital, LLC	None		06/25/2020 Offering	11/20/2020
Cardone Capital, LLC	None		09/20/2019 Offering	11/20/2020
Celsion Corporation	None		11/02/2015 - 07/10/2020	12/29/2020
Citigroup Inc.	None		02/25/2017 - 10/12/2020	12/29/2020
Colony Credit Real Estate, Inc.	None		02/01/2018 Offering	11/09/2020
Credit Acceptance Corporation	None		11/01/2019 - 08/28/2020	12/01/2020
Evolus, Inc.	None		02/01/2019 - 07/06/2020	12/15/2020
First American Financial Corp.	None		02/17/2017 - 10/22/2020	12/24/2020
Fluidigm Corporation	None		02/07/2019 - 11/05/2019	11/20/2020
Garrett Motion, Inc.	None		10/01/2018 - 09/18/2020	11/24/2020
GoHealth, Inc.	None		07/15/2020 IPO	11/20/2020
GOL Linhas Aereas Inteligentes S.A.	None		03/14/2019 - 07/22/2020	11/10/2020
Golar LNG Limited	None		04/30/2020 - 09/24/2020	11/24/2020
Harborside Inc.	None		07/02/2019 - 08/12/2020	11/07/2020
Innate Pharma S.A.	None		03/10/2020 - 09/08/2020	12/22/2020
JPMorgan Chase & Co.	None		02/23/2016 - 09/23/2020	12/23/2020
Las Vegas Sands Corp.	None		02/27/2016 - 09/15/2020	12/21/2020
LexinFintech Holdings Ltd.	None		12/21/2017 - 08/24/2020	11/08/2020
Livongo Health, Inc.	None		Shares held on 09/08/2020	11/29/2020
Loop Industries, Inc.	None		09/24/2018 - 10/12/2020	12/13/2020
Mesoblast Limited	None		04/16/2019 - 10/01/2020	12/07/2020
Montage Resources Corporation	None		Shares held on 10/05/2020	12/19/2020
Nano-X Imaging Ltd.	None		08/21/2020 - 09/15/2020	11/15/2020

**City of New Haven Employees' Retirement Fund
October 30, 2020 Portfolio Monitoring Report (continued)**

Case	FIFO Loss	LIFO Loss	Class Period	Motion Due
NextCure, Inc.	None		11/05/2019 - 07/14/2020	11/20/2020
Nikola Corporation	None		03/03/2020 - 10/06/2020	11/14/2020
Odonate Therapeutics, Inc.	None		12/07/2017 - 08/21/2020	11/15/2020
Peabody Energy Corporation	None		04/03/2017 - 10/28/2019	11/27/2020
Pintec Technology Holdings Limited	None		10/25/2018 IPO	11/28/2020
Precigen, Inc. f/k/a Intrexon Corporation	None		05/10/2017 - 09/25/2020	12/04/2020
Reata Pharmaceuticals, Inc.	None		10/15/2019 - 08/07/2020	12/14/2020
Royal Caribbean Cruises Ltd.	None		02/04/2020 - 03/17/2020	12/06/2020
Spring Bank Pharmaceuticals, Inc.	None		Shares held on 10/07/2020	11/27/2020
Tactile Systems Technology, Inc.	None		05/07/2018 - 06/08/2020	11/28/2020
Teva Pharmaceuticals Industries Limited	None		10/29/2015 - 08/18/2020	11/22/2020
Turquoise Hill Resources Ltd.	None		07/17/2018 - 07/31/2019	12/14/2020
Wells Fargo & Company	None		10/13/2017 - 10/13/2020	12/29/2020
Wrap Technologies, Inc.	None		04/29/2020 - 09/23/2020	11/23/2020
YayYo, Inc.	None		11/14/2019 IPO	11/08/2020
Zosano Pharma Corporation	None		02/13/2017 - 09/30/2020	12/28/2020

**City of New Haven Employees' Retirement Fund
October 30, 2020 Settlement Report**

Shareholder Class Actions

RGRD has identified the following settled shareholder class actions with upcoming claims deadlines. Check-marked and shaded cases are those where the Portfolio Monitoring Program[®] indicates that an eligible claim may exist. The range of data analyzed in the context of this report is approximately Jan 2005-Jul 2014; Apr 2020-current. Where data is incomplete, potential claims may not be identified. Please click on the case name to view the Claims Administrators' websites for additional information about these settlements.

Claims Deadline	Case	Class Period	Gross Class Recovery	Claims Administrator
✓ 11/07/2020	Allied Nevada Gold Corp.	01/18/2013-08/05/2013	\$14,000,000	Epiq
11/07/2020	Insys Therapeutics, Inc. (Babich)	03/03/2015-01/25/2016	\$250,000	A.B. Data, Ltd.
11/10/2020	MRV Communications, Inc.	Held on 08/11/2017	\$1,900,000	RG/2 Claims Administration LLC
11/14/2020	Satyam Computer Services Ltd. (SEC)	04/01/2003-01/06/2009	\$16,000,000	Rust Consulting, Inc.
11/16/2020	Cocrystal Pharma, Inc. and/or BioZone Pharmaceuticals, Inc.	09/23/2013-09/07/2018	\$1,265,000	Strategic Claims Services
11/17/2020	Guestlogix Inc.	06/08/2015-11/12/2015	\$1,275,000 (CAD)	Spark LLP
11/18/2020	The Stars Group Inc.	03/31/2014-03/22/2016	\$30,000,000 (CAD)	Trilogy Class Action Services
11/19/2020	Applied Optoelectronics, Inc.	02/23/2017-02/21/2018	\$15,500,000	RG/2 Claims Administration LLC
11/23/2020	Quorum Health Corp.	05/02/2016-08/10/2016	\$18,000,000	Epiq
11/27/2020	Impinj, Inc.	07/21/2016-02/15/2018	\$20,000,000	A.B. Data, Ltd.
12/07/2020	Daimler AG	02/22/2012-04/21/2016	\$19,000,000	A.B. Data, Ltd.
12/11/2020	Ormat Technologies, Inc.	08/03/2017-05/15/2018	\$3,750,000	Strategic Claims Services
12/12/2020	Morgan Stanley and Co. (SEC)	See Notice	\$275,000,000	Epiq
12/14/2020	Longfin Corp. (SEC)	06/16/2017-04/06/2018	\$26,445,319	Epiq
12/16/2020	Apyx Medical Corporation	12/21/2018-04/01/2019	\$3,000,000	Strategic Claims Services
12/17/2020	Curio Group Holdings Corp.	04/27/2018-10/24/2018	\$8,980,000	A.B. Data, Ltd.
12/17/2020	Netshoes (Cayman) Limited	04/11/2017 IPO	\$8,000,000	A.B. Data, Ltd.
12/19/2020	Avon Products, Inc.	01/21/2016-11/01/2017	\$14,500,000	Epiq
01/04/2021	American Renal Associates Holdings, Inc.	08/10/2016-03/27/2019	\$5,775,000	Angeion Group

Robbins Geller does not undertake any obligation with respect to the notification of any and all settlements publicly disclosed or otherwise, to the accuracy of any specific claims deadline, to the filing of any claims or to the accuracy of the information provided by the Client in the claim form. It is the responsibility of the Client to timely file any claims, and to provide all relevant information to the fund representative(s) responsible for filing claims on behalf of the fund. Many funds delegate the responsibility for this task to the fund's custodian, fund managers and/or a third party claims processing company. Robbins Geller's identification of the recovery of shareholder class action funds is based on available data reported to Client's custodian and may not reflect all recoveries obtained.

**City of New Haven Employees' Retirement Fund
October 30, 2020 Settlement Report (continued)**

Shareholder Class Actions

Claims Deadline	Case	Class Period	Gross Class Recovery	Claims Administrator
01/04/2021	Santander Consumer USA Holdings Inc.	01/23/2014-06/12/2014	\$47,000,000	Gilardi & Co. LLC
01/05/2021	Intellipharmaeconomics International Inc.	05/21/2015-07/27/2017	\$1,600,000	Rust Consulting, Inc.
01/08/2021	Tile Shop Holdings, Inc.	Held 10/18/2019 or 06/30/2020	\$12,000,000	JND Legal Administration
01/08/2021	United Microelectronics Corporation	10/28/2015-11/01/2018	\$3,000,000	Analytics Consulting LLC
01/13/2021	Grana Y Montero S.A.A.	07/24/2013-02/24/2017	\$20,000,000	Gilardi & Co. LLC
01/20/2021	Credit Suisse Group AG	03/20/2015-02/03/2016	\$15,500,000	Epiq
01/25/2021	6D Global Technologies, Inc.	See Notice	\$640,000	Strategic Claims Services
01/26/2021	OPKO Health, Inc.	09/26/2013-09/07/2018	\$16,500,000	JND Legal Administration
01/27/2021	BrightView Holdings, Inc.	06/29/2018 IPO	\$11,500,000	A.B. Data, Ltd.
02/03/2021	ADT Inc.	01/19/2018-05/21/2018	\$30,000,000	Gilardi & Co. LLC
02/18/2021	The Southern Company	04/25/2012-10/30/2013	\$87,500,000	Gilardi & Co. LLC
02/25/2021	Ardo Technologies, Inc.	08/03/2018-12/02/2018	\$1,250,000	Angeion Group

Antitrust Class Actions

RGRD has identified the following settled antitrust class actions with upcoming claims deadlines. Please click on the case name to view the Claims Administrators' websites for additional information about these settlements. Because these antitrust cases rarely involve publicly traded securities covered by our Portfolio Monitoring Program®, RGRD cannot independently ascertain your eligibility to participate in any of these settlements. Please contact us if you have questions regarding any of these antitrust settlements.

Claims Deadline	Case	Class	Class Period	Gross Class Recovery	Claims Administrator
11/21/2020	Automotive Parts	Automotive Exhaust Systems	01/01/2002-02/12/2018	\$13,798,000	Epiq

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**City of New Haven Employees' Retirement Fund
October 30, 2020 Settlement Report (continued)**

Antitrust Class Actions

Claims Deadline	Case	Class	Class Period	Gross Class Recovery	Claims Administrator
12/01/2020	Eurodollar Futures	Eurodollar futures contracts and/or options	01/01/2003-05/31/2011	\$187,000,000	A.B. Data, Ltd.
12/28/2020	LIBOR Bondholder Settlements	LIBOR-based debt securities	08/01/2007-05/31/2010	\$68,625,000	Epiq
01/03/2021	LIBOR Green Pond Settlement	U.S. Dollar LIBOR-based instruments	08/01/2007-05/31/2010	\$21,775,000	Rust Consulting, Inc.
03/01/2021	London Silver Fixing	Silver Instruments	01/01/1999-09/06/2016	\$38,000,000	A.B. Data, Ltd.

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