



Robert Walsh
Acting Building
Official

City of New Haven
Permit & License Center

200 Orange Street, Room 501
New Haven, Connecticut 06510



Justin Elicker
Mayor

AUCTION & AUCTIONEER

Ordinances Rules & Regulations



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General Information

Definition of Auction and Auctioneer:

1. Auction means a transaction conducted by means of exchanges between an auctioneer and the members of his audience. It constitutes a series of invitations for offers for the purchase of goods or articles of personal property made by the auctioneer, offers by members of the audience, and the acceptance of the highest or most favorable offer.
2. Auctioneer means an individual who calls bids at an auction.

Auction and Auctioneer Fees:

Auction Permit.....	\$125.00 per day
<i>(valid only for 60 days from date of issuance unless revoked sooner)</i>	
Auctioneer License*	\$90.00 per day
	\$325.00 per year

PLEASE NOTE

An Auctioneer License is required for every individual who will call for bids at an auction.

If the Auction & Auctioneer application is denied by the City of New Haven, the applicant will be entitled to a full refund. However if the applicant withdraw their application for any other reason, there will be a fifty dollar (\$50.00) administrative processing fee withheld from payment reimbursement. Once issued a permit or license is not transferable and is not eligible for a refund.



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***Required Documentation
for an Auction Permit & Auctioneer License***

A Complete Dated and Signed Application Packet

Application, Criminal Background Check Request and
Certificate of Acknowledgement

Valid Photo Identification

Must Display Current Address and an Expiration Date

Valid Connecticut Sales Tax and Use Permit

Issued From The CT Department of Revenue Services

LOCAL OFFICE:

55 West Main Street, Suite 100, Waterbury, CT 06702
203-805-6789

<http://www.ct.gov/drs>

Notarized Inventory List & Affidavit of Merchandise To Be Sold

- Inventory containing a complete and accurate list of the stocks, goods, wares and merchandise on hand to be sold at auction.
- Include the cost price of each article with the date of its purchase and identity of the seller(s).
- The inventory list shall be attached to a notarized affidavit stating that the information set forth in the inventory is true and accurate to the knowledge of the affiant.
- The affidavit shall include the names and addresses of the principals, such as the partners, officers, directors, principal's stockholders and owners of the business and the inventoried merchandise.



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New Haven Code of General Ordinances
Title III Chapter 17 Licenses and Permits
Article IV. Auctions and Auctioneers



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New Haven Code of General Ordinances – Title III Chapter 17 Licenses & Permits

Article IV. Auctions and Auctioneers

Sec. 17-4. - Provisions of Article I apply.

The provisions of Article I, Licenses and Permits In General, of this chapter also apply to the activities regulated by this article.

(Ord. No. 1304, Pt. I(J), 12-17-01)

Sec. 17-4.1. - Definitions.

(a) For the purposes of this article, the following meanings apply:

Auctioneer means an individual who calls bids at an auction.

Auction means a transaction conducted by means of exchanges between an auctioneer and the members of his audience. It constitutes a series of invitations for offers for the purchase of goods or articles of personal property made by the auctioneer, offers by members of the audience, and the acceptance of the highest or most favorable offer.

(b) The provisions of this article do not apply to any sale authorized by law, or conducted pursuant to an order or under the authority of any court. Additionally, persons selling their own household goods are exempt from the license and permit requirements.

(Ord. No. 1304, Pt. I(J), 12-17-01)

Sec. 17-4.2. - Auction permit required.

It is unlawful for any person to expose or offer for sale any goods or articles of personal property by competitive bidding or at public auction within the city without obtaining a permit for those purposes in accordance with the provisions of this article.

(Ord. No. 1304, Pt. I(J), 12-17-01)

Sec. 17-4.3. - Auctioneer license required.

It is unlawful for any person or entity to engage in any business as an auctioneer without obtaining a license for that purpose in accordance with the provisions of this article.

(Ord. No. 1304, Pt. I(J), 12-17-01)

Sec. 17-4.4. - Disqualification of applicant.

An applicant will not be issued a license or permit if the police chief or his designee determines that the applicant is not a suitable person. This determination will be made on a case-by-case basis, depending upon factors that include, but are not limited to, one (1) or more of the following:

(a) The applicant is a person against whom a judgment based upon, or conviction for, fraud, deceit or misrepresentation has been entered within the five (5) years immediately preceding the date of application.

(b) The factors listed in section 17-1.4 of this Code.

(Ord. No. 1304, Pt. I(J), 12-17-01)



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Sec. 17-4.5. - Issuance.

- (a) Upon approval of the application and payment of the fee in accordance with section 17-201 of this Code, the issuer shall issue a permit or license to the applicant in accordance with the provisions of this article.
- (b) Pursuant to section 21-5 of the general statutes, the city shall not require any license fee from any blind person, resident of this state, for the privilege of selling within its limits goods, wares and merchandise, manufactured by him with his own hands.

(Ord. No. 1304, Pt. I(J), 12-17-01)

Sec. 17-4.6. - Term of auction permit.

Every auction permit issued under this article shall expire within sixty days following its issuance date unless revoked sooner as herein provided.

(Ord. No. 1304, Pt. I(J), 12-17-01)

Sec. 17-4.7. - Suspension, cancellation or revocation.

In addition to the reasons listed in section 17-1.15 of this Code, the issuer may cancel, suspend or revoke such permit or license for any one (1) or more of the following reasons:

- (a) Participation in false bidding at any auction.
- (b) Misrepresentation of the quality, kind or value of any article at any auction.
- (c) Substitution of any article in lieu of that offered to and purchased by the bidder at any auction.
- (d) False representation or pretense of the purchase, history or character of any goods, wares or merchandise at any auction. This includes any statements that the articles are in whole or in part, goods sold for, belonging to or purchased from a bankrupt or insolvent person, company or corporation, or damaged goods, or goods saved from fire.

(Ord. No. 1304, Pt. I(J), 12-17-01)

Sec. 17-4.8. - Duties of the auctioneer.

No person shall sell or offer for sale by auction a stock of merchandise that is being represented or advertised in any way as that belonging to or kept in the business of another person, firm or corporation without being listed in an inventory, and filed with a notarized affidavit when applying for a permit to the issuer. The inventory must set up the quantity, quality, kind and grade of each item. In the case of an individual, said affidavit shall be made by him as such; in the case of a firm, it shall be made by one of the partners; and in the case of a corporation, it shall be made by a principal officer of the corporation. The issuer shall keep such inventory and affidavit on file as public records.

(Ord. No. 1304, Pt. I(J), 12-17-01)