

**City of New Haven Policemen and Firemen's Pension Fund
September 25, 2020 Portfolio Monitoring Report**

The Portfolio Monitoring Program[®] has generated preliminary loss amounts in the following new securities class actions. Certain of these cases were filed by other law firms, and RGRD has not evaluated the merits of such cases. Case summaries can be viewed by clicking on the case name. The range of data analyzed in the context of this report is approximately Jan 2005-Jul 2014; Apr 2020-current. Where data is insufficient for the class periods alleged, the loss amount may be inaccurate.

Case	FIFO Loss	LIFO Loss	Class Period	Motion Due
Alteryx, Inc.	None		05/06/2020 - 08/06/2020	10/19/2020
American Electric Power Company, Inc.	None		11/02/2016 - 07/24/2020	10/19/2020
Anaplan Inc.	None		11/21/2019 - 02/26/2020	10/23/2020
Baidu, Inc.	None		04/08/2016 - 08/13/2020	10/18/2020
Blink Charging Company	None		03/06/2020 - 08/19/2020	10/23/2020
Braskem S.A.	None		05/06/2016 - 07/08/2020	10/24/2020
Cabot Oil & Gas Corporation	None		10/23/2015 - 06/12/2020	10/12/2020
Cardone Capital, LLC	None		06/25/2020 Offering	11/20/2020
Cardone Capital, LLC	None		09/20/2019 Offering	11/20/2020
Colony Credit Real Estate, Inc.	None		02/01/2018 Offering	11/09/2020
Coty Inc.	None		10/03/2016 - 05/28/2020	11/03/2020
Eastman Kodak Company	None		07/27/2020 - 08/11/2020	10/13/2020
Fastly, Inc.	None		05/06/2020 - 08/05/2020	10/26/2020
Fennec Pharmaceuticals Inc.	None		02/11/2020 - 08/10/2020	11/02/2020
Fluidigm Corporation	None		02/07/2019 - 11/05/2019	11/20/2020
Genius Brands International, Inc.	None		03/17/2020 - 07/05/2020	10/17/2020
GoHealth, Inc.	None		07/15/2020 IPO	11/20/2020
GOL Linhas Aereas Inteligentes S.A.	None		03/14/2019 - 07/22/2020	11/10/2020
Harborside Inc.	None		07/02/2019 - 08/12/2020	11/07/2020
HDFC Bank Limited	None		07/31/2019 - 07/10/2020	11/02/2020
Jernigan Capital, Inc.	None		Shares held on 09/11/2020	11/16/2020
LexinFintech Holdings Ltd.	None		12/21/2017 - 08/24/2020	11/08/2020
Maxim Integrated Products, Inc.	None		Shares held on 08/31/2020	11/14/2020
MEI Pharma, Inc.	None		08/02/2017 - 07/01/2020	10/09/2020
Nano-X Imaging Ltd.	None		08/21/2020 - 09/15/2020	11/15/2020
NextCure, Inc.	None		11/05/2019 - 07/14/2020	11/20/2020
Nikola Corporation	None		03/03/2020 - 09/20/2020	11/14/2020

**City of New Haven Policemen and Firemen's Pension Fund
September 25, 2020 Portfolio Monitoring Report (continued)**

Case	FIFO Loss	LIFO Loss	Class Period	Motion Due
Odonate Therapeutics, Inc.	None		12/07/2017 - 08/21/2020	11/15/2020
OneSpan Inc.	None		05/09/2018 - 08/11/2020	10/19/2020
Portland General Electric Company	None		04/24/2020 - 08/24/2020	11/02/2020
Progenity, Inc.	None		06/22/2020 IPO	10/27/2020
Qutoutiao Inc.	None		09/14/2018 - 07/15/2020	10/19/2020
STAAR Surgical Company	None		02/26/2020 - 08/10/2020	10/18/2020
Teva Pharmaceutical Industries Limited	None		10/29/2015 - 08/18/2020	11/22/2020
Ultra Petroleum Corp.	None		04/13/2017 - 08/08/2019	10/31/2020
Vaxart, Inc.	None		06/25/2020 - 07/25/2020	10/23/2020
Vivint Solar, Inc.	None		Shares held on 08/31/2020	11/13/2020
YayYo, Inc.	None		11/14/2019 IPO	11/08/2020

**City of New Haven Policemen and Firemen's Pension Fund
Q3 2020 Repose Report**

As of September 25, 2020, RGRD has identified the following U.S. securities cases in which the applicable time period to file a U.S. federal securities claim may be expiring within the next six months. The range of data analyzed in the context of this report is approximately **Jan 2005-Jul 2014; Apr 2020-current**. Where data is insufficient for the class periods alleged, the loss amount may be inaccurate. Upon request, RGRD can provide legal advice regarding potential securities claims you may have. Please contact us if you have any questions regarding this report.

Case	Market Loss	Class Period	Class Certification	Repose Exposure Begins
22nd Century Group, Inc.	None	02/18/2016 - 07/31/2019	Not Yet Filed	02/18/2021
Acuity Brands, Inc.	None	10/07/2015 - 04/03/2017	Granted	10/07/2020
Airbus SE	None	02/24/2016 - 07/30/2020	Not Yet Filed	02/24/2021
Alta Mesa Resources, Inc.	None	Shares held on 01/22/2018	Not Yet Filed	01/19/2021
Ascena Retail Group, Inc.	None	12/01/2015 - 05/17/2017	Not Yet Filed	12/01/2020
Avon Products, Inc.	None	01/21/2016 - 11/01/2017	Pending (Motion Filed)	01/21/2021
AxoGen, Inc.	None	11/17/2017 Offering	Not Yet Filed	11/17/2020
Bank OZK	None	02/19/2016 - 10/18/2018	Not Yet Filed	02/19/2021
Bofl Holding, Inc.	None	03/14/2016 - 10/24/2017	Not Yet Filed	03/14/2021
Cabot Oil & Gas Corporation	None	10/23/2015 - 06/12/2020	Not Yet Filed	10/23/2020
China Zenix Auto International Limited	None	10/02/2015 - 06/14/2018	Not Yet Filed	10/02/2020
ChinaCache International Holdings Ltd.	None	04/10/2015 - 05/17/2019	Not Yet Filed	04/10/2020
Colony Credit Real Estate, Inc.	None	02/01/2018 Offering	Not Yet Filed	02/01/2021
CVS Health Corporation	None	02/09/2016 - 02/20/2019	Not Yet Filed	02/09/2021
Dropbox, Inc.	None	03/23/2018 Offering	Not Yet Filed	03/23/2021
E-Commerce China Dangdang Inc.	None	03/09/2016 - 09/20/2016	Not Yet Filed	03/09/2021
Edison International	None	02/23/2016 - 10/29/2019	Not Yet Filed	02/23/2021
EQT Corporation	None	11/13/2017 Offering	Not Yet Filed	11/13/2020
EQT Corporation	None	Shares held on 09/21/2017	Not Yet Filed	10/12/2020
EQT Corporation	None	Shares held on 09/25/2017	Not Yet Filed	10/12/2020
Evoqua Water Technologies Corp.	None	03/19/2018 Offering	Not Yet Filed	03/19/2021
Evoqua Water Technologies Corp.	None	11/06/2017 Offering	Not Yet Filed	11/06/2020

RGRD does not undertake any obligation with respect to this Report or any potential claims or deadlines reported herein. The market losses represented herein are based on information provided by the Client or its Custodian. It is the Client's sole responsibility to determine whether any action should be taken with respect to the information contained in this Report. This Report does not include cases that have been dismissed or settled.

**City of New Haven Policemen and Firemen's Pension Fund
Q3 2020 Repose Report (continued)**

Case	Market Loss	Class Period	Class Certification	Repose Exposure Begins
Farmland Partners Inc.	None	11/12/2015 - 07/10/2018	Pending (Motion Filed)	11/12/2020
FAT Brands, Inc.	None	10/23/2017 Offering	Denied	10/23/2020
Fiat Chrysler Automobiles N.V.	None	02/26/2016 - 11/20/2019	Not Yet Filed	02/26/2021
Fluor Corporation	None	08/14/2013 - 02/14/2020	Not Yet Filed	08/14/2018
Funko, Inc.	None	11/01/2017 Offering	Not Yet Filed	11/01/2020
Gigamon Inc.	None	Shares held on 11/20/2017	Not Yet Filed	11/24/2020
Invuity, Inc.	None	02/24/2016 - 11/03/2016	Not Yet Filed	02/24/2021
IQIYI, Inc.	None	03/29/2018 Offering	Not Yet Filed	03/29/2021
J2 Global, Inc.	None	10/05/2015 - 06/29/2020	Not Yet Filed	10/05/2020
Jianpu Technology Inc.	None	11/16/2017 Offering	Not Yet Filed	11/16/2020
Kandi Technologies Group, Inc.	None	06/10/2015 - 03/13/2017	Not Yet Filed	06/10/2020
Katanga Mining Limited	None	02/11/2016 - 11/07/2019	Not Yet Filed	02/11/2021
Lexicon Pharmaceuticals, Inc.	None	03/11/2016 - 07/29/2019	Not Yet Filed	03/11/2021
LexinFintech Holdings Ltd.	None	12/21/2017 Offering	Not Yet Filed	12/21/2020
Liberty Oilfield Services Inc.	None	01/17/2018 Offering	Not Yet Filed	01/17/2021
Macquarie Infrastructure Corporation	None	02/22/2016 - 02/21/2018	Not Yet Filed	02/22/2021
Maiden Holdings, Ltd.	None	02/19/2014 - 11/09/2018	Not Yet Filed	02/19/2019
Mallinckrodt plc	None	10/06/2015 - 11/06/2017	Pending (Motion Filed)	10/06/2020
Marriott International, Inc.	None	11/16/2015 - 11/29/2018	Not Yet Filed	11/16/2020
McDermott International, Inc.	None	Shares held on 04/04/2018	Not Yet Filed	03/27/2021
Mylan N.V.	None	02/16/2016 - 05/07/2019	Not Yet Filed	02/16/2021
Nielsen Holdings plc	None	02/11/2016 - 07/25/2018	Not Yet Filed	02/11/2021
NMC Health Plc	None	03/13/2016 - 03/10/2020	Not Yet Filed	03/13/2021
Nova Lifestyle, Inc.	None	12/03/2015 - 12/20/2018	Not Yet Filed	12/03/2020
Oasmia Pharmaceutical AB	None	10/23/2015 - 10/14/2019	Not Yet Filed	10/23/2020
PPDAI Group Inc.	None	11/10/2017 Offering	Not Yet Filed	11/10/2020

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**City of New Haven Policemen and Firemen's Pension Fund
Q3 2020 Repose Report (continued)**

Case	Market Loss	Class Period	Class Certification	Repose Exposure Begins
Qihoo 360 Technology Co. Ltd.	None	12/18/2015 - 07/15/2016	Not Yet Filed	12/18/2020
Qudian Inc.	None	10/18/2017 Offering	Not Yet Filed	10/18/2020
Restoration Robotics, Inc.	None	10/12/2017 Offering	Granted	10/12/2020
RTI Surgical Holdings, Inc.	None	03/07/2016 - 03/27/2020	Not Yet Filed	03/07/2021
Ryder System, Inc.	None	07/23/2015 - 02/13/2020	Not Yet Filed	07/23/2020
SAExploration Holdings, Inc.	None	03/15/2016 - 02/07/2020	Not Yet Filed	03/15/2021
SCANA Corporation	None	02/26/2016 - 12/20/2017	Not Yet Filed	02/26/2021
Sealed Air Corporation	None	11/17/2014 - 06/20/2019	Not Yet Filed	11/17/2019
Sogou Inc.	None	11/09/2017 Offering	Not Yet Filed	11/09/2020
Sterling Bancorp, Inc.	None	11/17/2017 Offering	Not Yet Filed	11/17/2020
Sunlands Technology Group	None	03/23/2018 Offering	Not Yet Filed	03/23/2021
Synchrony Financial	None	12/01/2017 Offering	Not Yet Filed	12/01/2020
Teladoc Health, Inc.	None	03/03/2016 - 12/05/2018	Not Yet Filed	03/03/2021
Tesco Corporation	None	Shares held on 10/20/2017	Not Yet Filed	10/26/2020
Teva Pharmaceutical Industries Limited	None	10/29/2015 - 08/18/2020	Not Yet Filed	10/29/2020
The Kraft Heinz Company	None	11/05/2015 - 08/07/2019	Not Yet Filed	11/05/2020
TreeHouse Foods, Inc.	None	01/20/2016 - 11/02/2016	Granted	01/20/2021
United Microelectronics Corporation	None	10/28/2015 - 11/01/2018	Not Yet Filed	10/28/2020
United States Steel Corporation	None	01/27/2016 - 04/25/2017	Granted	01/27/2021
Unifi Group Inc. f/k/a Communications Sales & Leasing, Inc.	None	04/24/2015 - 06/24/2019	Not Yet Filed	04/24/2020
Vanda Pharmaceuticals Inc.	None	11/04/2015 - 02/11/2019	Not Yet Filed	11/04/2020
Vanguard Natural Resources, LLC	None	02/10/2016 - 09/30/2020	Not Yet Filed	02/10/2021
Venator Materials PLC	None	12/04/2017 Offering	Not Yet Filed	12/04/2020
Westpac Banking Corporation	None	11/11/2015 - 11/19/2019	Not Yet Filed	11/11/2020
Wins Finance Holdings, Inc.	None	02/23/2016 - 06/07/2017	Pending (Motion Filed)	02/23/2021
Wirecard AG	None	08/17/2015 - 06/24/2020	Not Yet Filed	08/17/2020

GRRD does not undertake any obligation with respect to this Report or any potential claims or deadlines reported herein. The market losses represented herein are based on information provided by the Client or its Custodian. It is the Client's sole responsibility to determine whether any action should be taken with respect to the information contained in this Report. This Report does not include cases that have been dismissed or settled.

**City of New Haven Policemen and Firemen's Pension Fund
Q3 2020 Repose Report (continued)**

Case	Market Loss	Class Period	Class Certification	Repose Exposure Begins
Wirecard AG	None	08/17/2015 - 06/26/2020	Not Yet Filed	08/17/2020
Yangtze River Port and Logistics Limited	None	02/02/2016 - 12/05/2018	Not Yet Filed	02/02/2021

**City of New Haven Policemen and Firemen's Pension Fund
September 25, 2020 Settlement Report**

Shareholder Class Actions

RGRD has identified the following settled shareholder class actions with upcoming claims deadlines. Check-marked and shaded cases are those where the Portfolio Monitoring Program[®] indicates that an eligible claim may exist. The range of data analyzed in the context of this report is approximately **Jan 2005-Jul 2014; Apr 2020-current**. Where data is incomplete, potential claims may not be identified. Please click on the case name to view the Claims Administrators' websites for additional information about these settlements.

Claims Deadline	Case	Class Period	Gross Class Recovery	Claims Administrator
10/08/2020	Dycom Industries, Inc.	11/20/2017-08/10/2018	\$9,500,000	A.B. Data, Ltd.
10/10/2020	Insys Therapeutics, Inc. (Kapoor)	03/03/2015-01/25/2016	\$700,000	A.B. Data, Ltd.
10/13/2020	Centene Corp.	05/24/2016-07/25/2016	\$7,500,000	JND Legal Administration
10/17/2020	Molina Healthcare, Inc.	10/31/2014-08/02/2017	\$7,500,000	Angeon Group
10/19/2020	Zimmer Biomet Holdings, Inc.	06/07/2016-11/07/2016	\$50,000,000	JND Legal Administration
11/07/2020	Allied Nevada Gold Corp.	01/18/2013-08/05/2013	\$14,000,000	Epiq
11/07/2020	Insys Therapeutics, Inc. (Babich)	03/03/2015-01/25/2016	\$250,000	A.B. Data, Ltd.
11/10/2020	MRV Communications, Inc.	Held on 08/11/2017	\$1,900,000	RG/2 Claims Administration LLC
11/14/2020	Satyam Computer Services Ltd. (SEC)	04/01/2003-01/06/2009	\$16,000,000	Rust Consulting, Inc.
11/16/2020	Cocrystal Pharma, Inc. and/or BioZone Pharmaceuticals, Inc.	09/23/2013-09/07/2018	\$1,265,000	Strategic Claims Services
11/17/2020	Guestlogix Inc.	06/08/2015-11/12/2015	\$1,275,000 (CAD)	Spark LLP
11/18/2020	The Stars Group Inc.	03/31/2014-03/22/2016	\$30,000,000 (CAD)	Trilogy Class Action Services
11/19/2020	Applied Optoelectronics, Inc.	02/23/2017-02/21/2018	\$15,500,000	RG/2 Claims Administration LLC
11/23/2020	Quorum Health Corp.	05/02/2016-08/10/2016	\$18,000,000	Epiq
11/27/2020	Impinj, Inc.	07/21/2016-02/15/2018	\$20,000,000	A.B. Data, Ltd.
12/11/2020	Ormat Technologies, Inc.	08/03/2017-05/15/2018	\$3,750,000	Strategic Claims Services
12/14/2020	Longfin Corp. (SEC)	06/16/2017-04/06/2018	\$26,445,319	Epiq
12/16/2020	Apyx Medical Corporation	12/21/2018-04/01/2019	\$3,000,000	Strategic Claims Services
12/17/2020	Curo Group Holdings Corp.	04/27/2018-10/24/2018	\$8,980,000	A.B. Data, Ltd.

Robbins Geller does not undertake any obligation with respect to the notification of any and all settlements publicly disclosed or otherwise, to the accuracy of any specific claims deadline, to the filing of any claims or to the accuracy of the information provided by the Client in the claim form. It is the responsibility of the Client to timely file any claims, and to provide all relevant information to the fund representative(s) responsible for filing claims on behalf of the fund. Many funds delegate the responsibility for this task to the fund's custodian, fund managers and/or a third party claims processing company. Robbins Geller's identification of the recovery of shareholder class action funds is based on available data reported to Client's custodian and may not reflect all recoveries obtained.

**City of New Haven Policemen and Firemen's Pension Fund
September 25, 2020 Settlement Report (continued)**

Shareholder Class Actions

Claims Deadline	Case	Class Period	Gross Class Recovery	Claims Administrator
12/17/2020	Netshoes (Cayman) Limited	04/11/2017 IPO	\$8,000,000	A.B. Data, Ltd.
01/04/2021	Santander Consumer USA Holdings Inc.	01/23/2014-06/12/2014	\$47,000,000	Gilardi & Co. LLC
01/05/2021	Intellipharmaceutics International Inc.	05/21/2015-07/27/2017	\$1,600,000	Rust Consulting, Inc.
01/08/2021	Tile Shop Holdings, Inc.	Held 10/18/2019 or 06/30/2020	\$12,000,000	JND Legal Administration
01/08/2021	United Microelectronics Corporation	10/28/2015-11/01/2018	\$3,000,000	Analytics Consulting LLC
01/13/2021	Grana Y Montero S.A.A.	07/24/2013-02/24/2017	\$20,000,000	Gilardi & Co. LLC
01/20/2021	Credit Suisse Group AG	03/20/2015-02/03/2016	\$15,500,000	Epiq
01/26/2021	OPKO Health, Inc.	09/26/2013-09/07/2018	\$16,500,000	JND Legal Administration

Antitrust Class Actions

RGRD has identified the following settled antitrust class actions with upcoming claims deadlines. Please click on the case name to view the Claims Administrators' websites for additional information about these settlements. Because these antitrust cases rarely involve publicly traded securities covered by our Portfolio Monitoring Program[®], RGRD cannot independently ascertain your eligibility to participate in any of these settlements. Please contact us if you have questions regarding any of these antitrust settlements.

Claims Deadline	Case	Class	Class Period	Gross Class Recovery	Claims Administrator
11/21/2020	Automotive Parts	Automotive Exhaust Systems	01/01/2002-02/12/2018	\$13,798,000	Epiq
12/01/2020	Eurodollar Futures	Eurodollar futures contracts and/or options	01/01/2003-05/31/2011	\$187,000,000	A.B. Data, Ltd.
12/28/2020	LIBOR Bondholder Settlements	LIBOR-based debt securities	08/01/2007-05/31/2010	\$68,625,000	Epiq
01/03/2021	LIBOR Green Pond Settlement	U.S. Dollar LIBOR-based instruments	08/01/2007-05/31/2010	\$21,775,000	Rust Consulting, Inc.
03/01/2021	London Silver Fixing	Silver Instruments	01/01/1999-09/06/2016	\$38,000,000	A.B. Data, Ltd.

Robbins Geller does not undertake any obligation with respect to the notification of any and all settlements publicly disclosed or otherwise, to the accuracy of any specific claims deadline, to the filing of any claims or to the accuracy of the information provided by the Client in the claim form. It is the responsibility of the Client to timely file any claims, and to provide all relevant information to the fund representative(s) responsible for filing claims on behalf of the fund. Many funds delegate the responsibility for this task to the fund's custodian, fund managers and/or a third party claims processing company. Robbins Geller's identification of the recovery of shareholder class action funds is based on available data reported to Client's custodian and may not reflect all recoveries obtained.

**City of New Haven Policemen and Firemen's Pension Fund
September 25, 2020 International Portfolio Monitoring Report**

The Portfolio Monitoring Program[®] has generated loss estimates for the following international securities class actions. All of these cases have been, or will be, filed by other law firms and/or third party litigation funders. We have not evaluated the merits of these cases. The range of data analyzed in the context of this report is approximately Jan 2005-Jul 2014; Apr 2020-current. Where data is insufficient for the class periods alleged, the loss amount may be inaccurate. In many of these cases, you may need to take steps to join the action to participate in any subsequent recoveries.

Case	Market Loss	Country	Relevant Period	Registration Deadline
AMP Limited	None	Australia	05/10/2012 - 04/13/2018	Open
Arrium Limited	None	Australia	08/19/2014 - 04/05/2016	Open
Bayer AG	None	Germany	05/22/2016 - 04/26/2019	Open
BHP Billiton Limited	None	Australia	08/08/2012 - 11/09/2015	Open
BHP Billiton plc	None	Australia	08/08/2012 - 11/09/2015	Open
Boral Limited	None	Australia	08/30/2017 - 02/10/2020	Open
Brambles Limited	None	Australia	08/18/2016 - 02/17/2017	Open
CIMIC Group Limited	None	Australia	02/07/2018 - 01/22/2020	Open
Commonwealth Bank of Australia	None	Australia	06/16/2014 - 08/03/2017	Open
Daimler AG	None	Germany	07/10/2012 - 06/11/2018	10/31/2020
Dick Smith Holdings Limited	None	Australia	02/16/2015 - 01/03/2016	Open
Dick Smith Holdings Limited	None	Australia	12/04/2013 - 01/05/2016	Open
G8 Education Limited	None	Australia	05/23/2017 - 02/23/2018	Open
Iluka Resources Limited	None	Australia	04/12/2012 - 07/08/2012	Open
Innovision FlexTech Corporation	None	Taiwan	11/07/2018 - 11/09/2018	10/05/2020
IOOF Holdings Ltd	None	Australia	04/29/2014 - 12/06/2018	Open
iSignthis Ltd.	None	Australia	09/25/2018 - 10/01/2019	Open
Just Energy Group, Inc.	None	Canada	05/16/2018 - 08/14/2019	Open
Lendlease Group	None	Australia	10/17/2017 - 02/22/2019	Open
Lendlease Group	None	Australia	10/17/2017 - 02/25/2019	Open
Lundin Mining Corporation	None	Canada	10/25/2017 - 11/29/2017	Open
Mayne Pharma Group Limited	None	Australia	11/24/2014 - 12/15/2016	Open
RCR Tomlinson Limited	None	Australia	08/11/2017 - 11/11/2018	Open
Retail Food Group Limited	None	Australia	04/18/2017 - 02/28/2018	Open
Sims Metal Management Limited	None	Australia	07/23/2014 - 02/19/2016	10/16/2020

**City of New Haven Policemen and Firemen's Pension Fund
September 25, 2020 International Portfolio Monitoring Report (continued)**

Case	Market Loss	Country	Relevant Period	Registration Deadline
Treasury Wine Estates Ltd.	None	Australia	06/30/2018 - 01/28/2020	Open
Westpac Banking Corporation	None	Australia	12/16/2013 - 11/19/2019	Open
Wirecard AG	None	Germany	05/04/2018 - 06/17/2020	Open
Wirecard AG (E&Y)	None	Germany	05/04/2018 - 06/17/2020	Open
Yowie Group Limited	None	Australia	01/01/2015 - 03/31/2018	Open